



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX DAC

PETITION TO CONSIDER CORRESPONDENCE FILED IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE UNDER 37 CFR 1.10

APPLICANT(S): Thomas Aeugle, et al. DOCKET NO: P99,1696
SERIAL NO.: 09/381,323 ART UNIT: Unknown
FILED: September 16, 1999 EXAMINER: Not yet assigned
TITLE: METHOD FOR THE PRODUCTION OF A VERTICAL MOS TRANSISTOR

Assistant Commissioner of Patents and Trademarks,
Washington, D.C. 20231

Dear Sir:

Applicants file this petition pursuant to 37 CFR 1.10(e).

Statement of Facts

An assignment (attached) for the above-identified utility patent application was originally filed with the United States Patent and Trademark Office on September 16, 1999 as evidenced by the attached copy of the Express Mail Label Number EL451654144US.

A copy of the postcard identifying the submission of this assignment and indicating its receipt by the USPTO on September 16, 1999 is also provided.

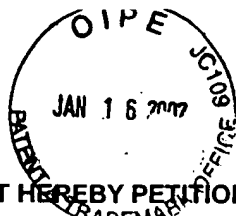
Applicants recently discovered that this assignment had not been recorded after a request was made for a subsequent assignment.

Applicants submit with this petition:

1. A copy of the Express Mail mailing label used to submit the original application;
2. The originally deposited papers that constitute the correspondence including the assignment, cover sheets, and check stub; and
3. A postcard indicating the papers were received by the USPTO.

The original deposit of the correspondence and the copies of the correspondence contained herein are identical. The copy of the Express Mail mailing label and the official notation entered by the USPS are true copies.

Applicants note that this petition was filed promptly after an awareness that the Office had no evidence of receipt of the correspondence. Applicants believe that no fee is required.



APPLICANT HEREBY PETITIONS THAT:

- 1) THE ASSIGNMENT FOR THE ABOVE-IDENTIFIED APPLICATION SUBMITTED WITH THIS PETITION BE CONSIDERED AS FILED IN THE OFFICE ON THE USPS DEPOSIT DATE OF SEPTEMBER 16, 1999; AND
- 2) THAT THE ASSIGNMENT BE PROPERLY RECORDED.

Applicants believe that all requirements have been met with this petition, and respectfully request that the petition be granted.

It is believed that no fee is due for this petition; however, if the Commissioner finds that a fee is due, the Commissioner is authorized to charge any such fees to account no. 50-1519.

Respectfully submitted,

Mark Bergner (Reg. No. 45,877)
Mark Bergner
SCHIFF HARDIN & WAITE
PATENT DEPARTMENT
6600 Sears Tower
Chicago, Illinois 60606-6473
(312) 258-5779
Attorney for Applicants
Customer Number 26574

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 21, 2001.

Mark Bergner
Attorney for Applicants



HON. COMMISSIONER OF PATENTS & TRADEMARKS
WASHINGTON, D.C. 20231

EXPRESS MAIL #EL451654144US

PLEASE APPLY A RECEIPT STAMP HERETO AND MAIL TO
ACKNOWLEDGE RECEIPT OF THE ATTACHED:

Executed Assignment, PTO Form 1595
and \$40 recording fee

Thomas Aeugle, et al.

APPLICANT

TYPE OF DOCUMENT(S)

September 16, 1999

MAILING DATE

P99,1696

REFERENCE NUMBER

#1261 (Siemens #0289)

09/381323

HILL & SIMPSON
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Form 20R

520 Rec'd PCT/PTO 16 SEP 1999



CERTIFICATE OF MAILING BY EXPRESS MAIL

"Express Mail" Mailing Label Number EL451654144US

Date of Deposit: September 16, 1999

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10(c) on the date indicated above and is addressed to:

BOX PCT
Commissioner of Patents and Trademarks
Washington, D.C. 20231

Case Number: P99,1696

International Application No.
PCT/EP98/01405

International Filing Date
March 11, 1998

Priority Date Claimed
March 19, 1997

Title: "METHOD FOR THE PRODUCTION OF A VERTICAL MOS
TRANSISTOR"

Applicants: Thomas Aeugle, Wolfgang Rosner, Dag Behammer and Lili Vescan

Enclosed are the following documents:

PTO Form 1390 in duplicate
PCT Request Form
Translation of International Application into English
Translation of Substitute Pages in English
Amendment "A" Prior to Action
Submission of Drawings (6 sheets - Fig. 17)
Request for Drawing Changes
Information Disclosure Statement, PTO Form 1449 and references cited
Executed Declaration and Power of Attorney
Executed Assignment (in separate envelope with \$40.00 recording fee)

Fee: \$840.00

Postcard

Signature of person mailing document and fees